

MINISTRY OF CORPORATE AFFAIRS**RECEIPT****G.A.R.7****SRN :** T23379506**Service Request Date :** 16/06/2021**Payment made into :** ICICI Bank**Received From :****Name :** The Company Secretary**Address :** Naman Chambers, C-32, G-Block,
Bandra-Kurla Complex, Bandra- East
Mumbai, Maharashtra
IN - 400051**Entity on whose behalf money is paid****CIN:** U65993MH1999PLC123191**Name :** IDFC ASSET MANAGEMENT COMPANY LIMITED**Address :** 6th floor, Tower 1C, 841, One World Center
Jupiter Mill, Senapati Bapat Marg
Mumbai, Maharashtra
India - 400013**Full Particulars of Remittance****Service Type:** eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2021	Normal	600.00
Total		600.00

Mode of Payment: Credit Card- ICICI Bank**Received Payment Rupees:** Six Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65993MH1999PLC123191

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AACCA3262H

(ii) (a) Name of the company

IDFC ASSET MANAGEMENT CO

(b) Registered office address

6th floor, Tower 1C, 841, One World Center
Jupiter Mill, Senapati Bapat Marg
Mumbai
Mumbai City
Maharashtra
400012

(c) *e-mail ID of the company

nirav.shah@idfc.com

(d) *Telephone number with STD code

02266289999

(e) Website

www.idfcamc.com

(iii) Date of Incorporation

20/12/1999

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(b) CIN of the Registrar and Transfer Agent

U74999MH2018PTC307859

Pre-fill

Name of the Registrar and Transfer Agent

TSR DARASHAW CONSULTANTS PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K6	Fund Management Services	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	IDFC FINANCIAL HOLDING COI	U65900TN2014PLC097942	Holding	99.96
2	IDFC Investment Managers (M		Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	350,000,000	26,800,520	26,800,520	26,800,520
Total amount of equity shares (in Rupees)	350,000,000	26,800,520	26,800,520	26,800,520

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity				
Number of equity shares	350,000,000	26,800,520	26,800,520	26,800,520
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	350,000,000	26,800,520	26,800,520	26,800,520

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	26,790,450	26,790,450	26,790,450	
Increase during the year	10,070	10,070	10,070	9,704,358.3
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0

v. ESOPs	10,070	10,070	10,070	9,704,358.3
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify <input type="text"/>				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <input type="text"/>				
At the end of the year	26,800,520	26,800,520	26,800,520	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify <input type="text"/>				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <input type="text"/>				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

- Nil
 [Details being provided in a CD/Digital Media] Yes No Not Applicable
- Separate sheet attached for details of transfers Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

3,478,393,223

(ii) Net worth of the Company

3,395,303,345

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	26,790,450	99.96	0	
10.	Others	0	0	0	
	Total	26,790,450	99.96	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	10,070	0.04	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	10,070	0.04	0	0

Total number of shareholders (other than promoters)

1

**Total number of shareholders (Promoters+Public/
Other than promoters)**

8

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	1
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	1	0	1	0	0
B. Non-Promoter	0	3	0	3	0	0
(i) Non-Independent	0	1	0	1	0	0
(ii) Independent	0	2	0	2	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	0	4	0	4	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

4

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Mr. Vinod Rai	00041867	Director	0	
Ms. Anita Ramachandra	00118188	Director	0	03/06/2021
Ms. Anita Belani	01532511	Director	0	
Mr. Sunil Kakar	03055561	Nominee director	0	10/06/2021

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	08/09/2020	7	5	100

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	30/04/2020	4	4	100
2	10/06/2020	4	4	100
3	31/07/2020	4	4	100
4	30/10/2020	4	3	75
5	11/12/2020	4	4	100
6	02/02/2021	4	4	100

C. COMMITTEE MEETINGS

Number of meetings held

10

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit and Risk	10/06/2020	3	3	100
2	Audit and Risk	31/07/2020	3	3	100
3	Audit and Risk	30/10/2020	3	3	100
4	Audit and Risk	02/02/2021	3	3	100
5	Nomination an	10/06/2020	4	4	100
6	Nomination an	30/10/2020	4	3	75
7	Nomination an	02/02/2021	4	4	100
8	Corporate Soc	10/06/2020	3	3	100
9	Allotment and	04/03/2021	3	3	100
10	Meeting of Ind	02/02/2021	2	2	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	15/06/2021
								(Y/N/NA)
1	Mr. Vinod Rai	6	6	100	9	9	100	No
2	Ms. Anita Ram	6	6	100	9	9	100	Not Applicable
3	Ms. Anita Bela	6	6	100	8	8	100	No
4	Mr. Sunil Kaka	6	5	83.33	5	4	80	Not Applicable

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of other directors whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Vinod Rai	Non-Executive [0	0	0	525,000	525,000
2	Ms. Anita Ramacha	Independent Dir	0	0	0	525,000	525,000
3	Ms. Anita Belani	Independent Dir	0	0	0	500,000	500,000
	Total		0	0	0	1,550,000	1,550,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Kaushik M. Jhaveri & Co.

Whether associate or fellow

Associate Fellow

Certificate of practice number

2592

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Vinod Rai
Digitally signed by Vinod Rai
Date: 2021.06.16 21:24:29 +05'30'

DIN of the director

00041867

To be digitally signed by

NIRAV DILIPKUMAR R SHAH
Digitally signed by NIRAV DILIPKUMAR R SHAH
Date: 2021.06.16 19:43:19 +05'30'

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

Shareholding of IDFC AMC FY21.pdf
IDFC_AMC_MGT-8_FY_2020-21.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Name of Company : IDFC ASSET MANAGEMENT COMPANY LIMITED
Authorized Capital : Equity – Rs. 35,00,00,000

Shareholding Pattern as on March 31, 2021

SR No	Name of Shareholder	Number of shares held	Paid up capital	% to total capital
1.	IDFC Financial Holding Company Limited	2,67,90,390	2,67,90,390	99.96
2.	IDFC Financial Holding Company Limited jointly with Mahendra N Shah*	10	10	0
3.	IDFC Financial Holding Company Limited jointly with Nirav Shah*	10	10	0
4.	IDFC Financial Holding Company Limited jointly with Bimal Giri*	10	10	0
5.	IDFC Financial Holding Company Limited jointly with Anupama Mishra*	10	10	0
6.	IDFC Financial Holding Company Limited jointly with Bipin Gemani*	10	10	0
7.	IDFC Financial Holding Company Limited jointly with Prerana Porwal*	10	10	0
8.	Mr. Rajendra Kumar Mishra	10,070	10,070	0.04
	Total	2,68,00,520	2,68,00,520	100

*beneficial interest of shares is in the name of IDFC Financial Holding Company Limited

For IDFC ASSET MANAGEMENT COMPANY LIMITED



Nirav Shah
Company Secretary

Shareholders-MGT_7_FY21 - Excel

Nirav Shah

First Name	Middle Name	Last Name	Folio Number	DP ID-Client Id	Account Number	Number of Shares held	Class of Shares
IDFC Financial Holding Co Ltd				130124001669042		26790390.000	Equity share
IDFC Financial Hold jointly with MAHENDRA N				160101000446421		10.000	Equity share
IDFC Financial Hold jointly with Nirav Shah				160101000446434		10.000	Equity share
IDFC Financial Hold jointly with Bipin Gemani				16010100470345		10.000	Equity share
IDFC Financial Hold jointly with Bimal Giri				160101000470364		10.000	Equity share
IDFC Financial Hold jointly with Anupama Mishra				160101000470379		10.000	Equity share
IDFC Financial Hold jointly with Prerana Prowal				160101000470419		10.000	Equity share
Rajendra		Mishra		IN30154954977533		10,070	Equity share

Microsoft Excel - Successfully Validated

For IDFC ASSET MANAGEMENT COMPANY LIMITED



**Nirav Shah
Company Secretary**

FORM NO.MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I/~~We~~ have examined the registers, records and books and papers of **IDFC ASSET MANAGEMENT COMPANY LIMITED (“the Company”)** (having CIN: **U65993MH1999PLC123191**) as required to be maintained under the Companies Act, 2013 (the Act) and the Rules made there under for the financial year ended on 31st March, 2021. In my/~~our~~ opinion and to the best of my information and according to the examinations carried out by me/~~us~~ and explanations furnished to me/~~us~~ by the Company, its officers and agents, I/~~we~~ certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the Company has generally complied with provisions of the Act & Rules made there under in respect of:
 1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed therefore;
 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;

Sr. No.	Forms	Purpose	Date of filing
1.	Form MGT-14	Filing of Resolutions and agreements to the Registrar Resolution passed u/s 134 of the Companies Act, 2013	07-07-2020
2.	Form MSME-1	Furnishing half yearly return with the registrar in respect of outstanding payments to Micro or Small Enterprises pursuant to Order 2 and 3 dated 22 January, 2019 issued under Section 405 of the Companies Act, 2013	30-10-2020
3.	Form MGT-7	Annual Return for the financial year ending 31st March, 2020 Pursuant to sub-Section (1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules,	03-11-2020

		2014	
4.	Form INC-22	Notice of situation or change of situation of registered office within local limits of city, town or village	28-12-2020
5.	Form AOC-4 (XBRL)	Form for filing financial statement and other documents with the Registrar for the F.Y. ending 31st March, 2020 pursuant to section 137 of the Companies Act, 2013 and sub-rule (1) of Rule 12 of Companies (Accounts) Rules, 2014	30-12-2020
6.	Form MGT – 6	Return to the Registrar in respect of declaration under section 89 received by the company	31-12-2020
7.	Form MGT-14	Filing of Resolutions and agreements to the Registrar Resolution passed u/s 203 of the Companies Act, 2013	16-02-2021
8.	Form PAS-3	Filing of Return of Allotment pursuant to section 39(4) and 42 (9) of the Companies Act, 2013 and rule 12 and 14 of the Companies (Prospectus and Allotment of Securities) Rules, 2014]	16-03-2021

4. calling/convening/holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, generally notices were given and the proceedings including the circular resolutions ~~and resolutions passed by postal ballot, if any,~~ have been generally recorded in the Minutes Book/registers maintained for the purpose and the same have been signed;
5. closure of Register of Members/Security holders, as the case may be; - **Not Applicable**
6. advances / loans to its directors and/or persons or firms or companies referred in Section 185 of the Act; - **Not Applicable**
7. contracts/arrangements with related parties as specified in Section 188 of the Act were on an arm's length basis and in ordinary course of business.
8. ~~issue or allotment or transfer or transmission or buy back of securities/redemption of preference shares or debentures/alteration or reduction of share capital by way of sub-division of shares/conversion of shares~~ and issue of security certificates in applicable instances under the Employee Stock Option Scheme;
9. keeping in abeyance the rights to dividend, rights shares and bonus share pending registration of transfer of shares in compliance with the provisions of the Act; - **Not Applicable**

10. ~~declaration/payment of dividend; transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;~~
11. signing of audited financial statement as per the provisions of Section 134 of the Act and report of directors is as per sub-Sections(3),(4)and(5)thereof;
12. ~~constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;~~
13. appointment/ re-appointments/filling up casual vacancies of auditors as per the provisions of Section 139 of the Act;
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provision of the Act;
15. acceptance/renewal/repayment of deposits; - **Not Applicable**
16. borrowings from its directors, members, public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, whenever applicable;- **Not Applicable**
17. loans and investments or guarantee given or providing of securities to other bodies corporate or persons falling under the provision of Section 186 of the Act;-**Not Applicable**
18. Alteration of the provisions of the Memorandum and/or Articles of Association of the Company;-**Not Applicable**

For Kaushik M.Jhaveri & Co.,

**KAUSHIK
MADHUSUDAN
AN JHAVERI**

Digitally signed by
KAUSHIK
MADHUSUDAN JHAVERI
Date: 2021.06.16
16:22:24 +05'30'

Kaushik Jhaveri
Practising Company Secretary
FCS No.: 4254
CP No.: 2592
UDIN: F004254C000473195
Date: 16/06/2021
Place:- Mumbai.